

IN THE U.S. PATENT AND TRADEMARK OFFICE  
Patent Application Transmittal Letter

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

00727 U.S. PTO  
10/767429  
013004

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a(n): ☒ Utility ( ) Design  
( ) original patent application,  
( ) continuation-in-part application

INVENTOR(S): **Kevin Michael Goodwin**

TITLE: **FORESTALLING ACTIONS THAT OTHERWISE WOULD DEFEAT ACCESS-CONTROL  
MECHANISM FOR VOLUME**

Enclosed are:

☒ The Declaration and Power of Attorney. ☒ signed ( ) unsigned or partially signed  
☒ 19 sheets of drawings (one set) ( ) Associate Power of Attorney  
( ) Form PTO-1449 ( ) Information Disclosure Statement and Form PTO-1449  
( ) Priority document(s) ☒ (Other) Copend. Ltr. & Inv. Info. (fee \$ )

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	16 — 20	0	X \$18	\$ 0
INDEPENDENT CLAIMS	3 — 3	0	X \$86	\$ 0
ANY MULTIPLE DEPENDENT CLAIMS	0		\$290	\$ 0
BASIC FEE: Design ( \$340.00 ); Utility ( \$770.00 )				\$ 770
TOTAL FILING FEE				\$ 770
OTHER FEES				\$
TOTAL CHARGES TO DEPOSIT ACCOUNT				\$ 770

Charge \$ 770 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

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I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By \_\_\_\_\_

Typed Name:

Respectfully submitted,

**Kevin Michael Goodwin**

By Thomas S. Auchterlonie

**Thomas S. Auchterlonie**

Attorney/Agent for Applicant(s)

Reg. No. 37,275

Date: 1/30/2004

Telephone No.: 703-668-8000

## INVENTOR INFORMATION

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Country of Residence:: USA  
Citizenship Country:: USA

## CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 022879

## APPLICATION INFORMATION

Title Line One:: FORESTALLING ACTIONS THAT OTHERWISE WOUL  
Title Line Two:: D DEFEAT ACCESS-CONTROL MECHANISM FOR VO  
Title Line Three:: LUME  
Total Drawing Sheets:: 19  
Formal Drawings?: Yes  
Application Type:: Utility  
Docket Number:: 200208947-1  
Secrecy Order in Parent Appl.?: No

## REPRESENTATIVE INFORMATION

Registration Number One:: 37275

Source:: PrintEFS Version 1.0.1

NEW APPLICATION  
Atty. Docket No. 200208947-1

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Kevin M. Goodwin

Application No.: **NEW** Group: Not Assigned

Filed: January 30, 2004 Examiner: Not Assigned

For: Forestalling Actions that Otherwise Would  
Defeat Access-Control Mechanism for Volume

**LETTER REGARDING CO-PENDING APPLICATIONS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 30, 2004

Sir:

Under the provisions of MPEP § 2001.06(b), the Examiner is hereby advised  
of the following co-pending U.S. Application(s):

Attorney Docket No.	Title	Filing Date	Group
200208944-1	Blocking Access by Preventing Completion of Volume Mount Request	1/30/2004	Unassigned
200208943-1	Switching I/O States for Volume Without Completely Tearing Down Stack	1/30/2004	Unassigned
200208937-1	Selectively Establishing Read-Only Access to Volume	1/30/2004	Unassigned
200208947-1 **	Forestalling Actions that Otherwise Would Defeat Access-Control Mechanism for Volume	1/30/2004	Unassigned
200208949-1	Forestalling Use of Duplicate Volume Identifiers	1/30/2004	Unassigned

**\*\* Present Application**

The subject matter contained in the above-listed co-pending U.S. application(s) may be deemed to relate to the present application, and thus may be material to the prosecution of this instant application.

The above-listed co-pending application(s) is(are) not to be construed as prior art. By bringing the above-listed application(s) to the attention of the Examiner, Applicant(s) do(does) NOT waive any confidentiality concerning the above-listed co-pending application(s) or this application. See MPEP § 101. Furthermore, if said application(s) should not mature into patents or otherwise publish, such application(s) should be preserved in secrecy under the provisions of 35 U.S.C. § 122 and 37 C.F.R. § 1.14.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY, & PIERCE, P.L.C.

By



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